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PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                      |                        |              |
|---|----------------------|------------------------|--------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 08/855,061             |              |
|   | Filing Date          | 05/13/97               |              |
|   | First Named Inventor | S.D. Augustine et al   |              |
|   | Examiner Name        | Graham                 |              |
|   | Group Art Unit       | 3304                   |              |
| Total Number of Pages in This Submission  | 5                    | Attorney Docket Number | AUGA01000012 |

| ENCLOSURES (check all that apply)   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Response<br><br><input type="checkbox"/> After Final<br><br><input checked="" type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition Checklist and Accompanying Petition<br><br><input type="checkbox"/> To Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><br>POSTCARD<br>BOARD OF PATENT APPEALS AND INTERFERENCES<br>1998 OCT 26 AM 11:56 |
| Remarks:<br><br><div style="text-align: right;"><b>RECEIVED</b><br/>OCT 28 1998<br/>TECHNOLOGY CENTER 3700</div>  |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual Name                    | Terrance A. Meador<br>Reg. No. 30,298<br>GRAY CARY WARE & FREIDENRICH |
| Signature                                  | <i>Terrance A. Meador</i>   |
| Date                                       | 20 October 1998   |

| CERTIFICATE OF MAILING  |                           |      |                 |
|---|---------------------------|------|-----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:<br>Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 20 October 1998 |                           |      |                 |
| Typed or printed name   | Terrance A. Meador        |      |                 |
| Signature   | <i>Terrance A. Meador</i> | Date | 20 October 1998 |

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PTO/SB/17 REV 1 (12/97)

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# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$ 265.00

## Complete If Known

Application Number 08/855,061  
Filing Date 05/13/97  
First Named Inventor S.D. Augustine et al  
Examiner Name Graham  
Group / Art Unit 3304  
Attorney Docket No. AUGA01000012

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 07-1895  
Deposit Account Name GRAY CARY WARE & FREIDENRICH

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 790                    | 201 395                    | Utility filing fee     |          |
| 106 330                    | 206 165                    | Design filing fee      |          |
| 107 540                    | 207 270                    | Plant filing fee       |          |
| 108 790                    | 208 395                    | Reissue filing fee     |          |
| 114 150                    | 214 75                     | Provisional filing fee |          |
| SUBTOTAL (1) (\$)          |                            |                        |          |

### 2. EXTRA CLAIM FEES

| Total Claims                | Extra Claims | Fee from below | Fee Paid |
|-----------------------------|--------------|----------------|----------|
| Independent Claims - 20** = | x            |                |          |
| Claims - 3** =              | x            |                |          |
| Multiple Dependent Claims   | x            |                |          |

\*\* or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 22                     | 203 11                     | Claims in excess of 20                                     |
| 102 82                     | 202 41                     | Independent claims in excess of 3                          |
| 104 270                    | 204 135                    | Multiple dependent claim                                   |
| 109 82                     | 209 41                     | ** Reissue independent claims over original patent         |
| 110 22                     | 210 11                     | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$)          |                            |  |

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  |          |
| 127 50                     | 227 25                     | Surcharge - late provisional filing or cover sheet                         |          |
| 139 130                    | 139 130                    | Non-English specification  |          |
| 147 2,520                  | 147 2,520                  | For filing a request for reexamination                                     |          |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55                     | Extension for response within first month                                  | 110.00   |
| 116 400                    | 216 200                    | Extension for response within second month                                 |          |
| 117 950                    | 217 475                    | Extension for response within third month                                  |          |
| 118 1,510                  | 218 755                    | Extension for response within fourth month                                 |          |
| 128 2,060                  | 228 1,030                  | Extension for response within fifth month                                  |          |
| 119 310                    | 219 155                    | Notice of Appeal   | 155.00   |
| 120 310                    | 220 155                    | Filing a brief in support of an appeal                                     |          |
| 121 270                    | 221 135                    | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55                     | Petition to revive unavoidably abandoned application                       |          |
| 141 1,320                  | 241 660                    | Petition to revive unintentionally abandoned application                   |          |
| 142 1,320                  | 242 660                    | Utility issue fee (or reissue)   |          |
| 143 450                    | 243 225                    | Design issue fee   |          |
| 144 670                    | 244 335                    | Plant issue fee  |          |
| 122 130                    | 222 130                    | Petitions to the Commissioner  |          |
| 123 50                     | 123 50                     | Petitions related to provisional applications                              |          |
| 126 240                    | 126 240                    | Submission of Information Disclosure Stmt                                  |          |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 790                    | 246 395                    | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149 790                    | 249 395                    | For each additional invention to be examined (37 CFR 1.129(b))             |          |

Other fee (specify)  
Other fee (specify)

SUBTOTAL (3) (\$ 265.00

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name Terrance A. Meador

Signature

Terrance A. Meador

Date

20 October 1998

## COMPLETE (if applicable)

Reg. Number 30,298

Deposit Account User ID